

Asset Transfer Form

Upon receipt of this form we will liaise with your current provider(s) to arrange the transfer. Most transfers between providers are made electronically. As there is no change of beneficial owner the transfer will be exempt from stamp duty and capital gains tax obligations. Conexim Advisors Ltd ("**Conexim**") and Pershing Securities International Ltd ("**PSIL**") do not charge for transfers into your account, however your current provider may charge you a fee to cover their administration costs.

Conexim can only accept dealing instructions (for sales) in these securities when the transfers have been completed and your securities have been re-registered into your PSIL nominee account. The time this will take will depend on the processing time of your current provider. It may not be possible to transfer all securities, for example, suspended or bankrupt securities.

In some cases the dividends on your holdings may arrive to your account with your current provider after the transfer has taken place. This occurs when the record date for the dividend payment is before the transfer to PSIL and the pay date is after the transfer date.

If you have any securities held in certificate/paper format that you wish to transfer into your account you will need to send us the certificates. We will review the certificates and then forward you the relevant forms to enable the transfer to your account at PSIL.

Part 1 - Your Personal or Pension Provider Information

Name on Personal, Pension or Corporate Account:

Personal Account Holder or Beneficiary

Name:	
Address:	
Telephone No:	
Email Address:	
Your account number (if known)	

Part 2 - Your Existing Provider

Existing Provider Name:	
Contact Name in Existing Provider:	
Address:	
Telephone No:	
Email Address:	
Your account number (if known)	

Part 3 - Transfer Instructions

I wish to transfer the assets as detailed overleaf to Pershing Securities International Ltd ("PSIL") with Conexim Advisors Ltd ("Conexim"), acting as my authorised agent.

Option 1: I wish to transfer all of my assets (cash and shares / units) from my existing provider to PSIL ☐
Please state how you would like your assets to be transferred (***please tick one only***)
In Cash ☐ In Shares / Units (see below) ☐ In Shares / Units and Cash (see below) ☐

Option 2: I wish to transfer part of my assets from my existing provider to PSIL, as detailed overleaf ☐

For both options above, if you have a transfer of Shares or Units, please tick one of the options below.

☐ If transfer in shares or units is not possible, then I instruct PSIL to liquidate these assets and transfer in cash. I understand this may incur charges from my previous product provider and I agree that these charges may be taken from the proceeds of the liquidation of these assets or if this is not possible I will refund Pershing for the payment of these charges.

OR

☐ If transfer in shares or units is not possible, I instruct PSIL NOT to request a transfer in cash. Please refer to Conexim who will advise further in their capacity as my Authorised Agent.

Part 4 - Asset Details

Value (€, £, \$) or No. of Shares / Units	Investment Reference (Sedol if known)	Cost of Purchase (if known)

Part 5 - Transfer Authority

I instruct and authorise you (as my current provider) to transfer the cash and/or investments listed to PSIL. I authorise you to provide Conexim with any information they need about the account(s) and to accept any instruction from them relating to the account(s).

Signature:

Date:

Second signature:

Date:

(If Applicable)

Clients please return an original of this form to:

Asset Transfers, Conexim Advisors Ltd
97 Haddington Road, Dublin 4
D04 YK79, Ireland

Tel: 01 679 8080 Fax: 01 679 8741

Email: transfers@conexim.ie

Conexim Advisors Ltd and Pershing Securities International Ltd are regulated by the Central Bank of Ireland.