



Pension Accounts held with 3rd Party Trustees/QFMs

It is a prerequisite for providing services to you that you supply us with accurate, comprehensive and current details about you. Please complete all sections of this form diligently.

The information sought below is also utilised for Anti-Money Laundering purposes and for Conexim Advisors Limited ("Conexim") to assess the suitability and/or appropriateness (where relevant) of investments or services that may be provided to you.

Checklist

Before returning this application please ensure that you have all the relevant documentation:

Copies of the photo ID, proof of address and proof of PPS must be certified by an accountant, solicitor, garda or regulated entity based in Ireland.

1. Proof of ID (one of the following) Check

- a. A certified, current (i.e. in date) and valid passport
- b. A certified, current, full and valid driving license

2. Proof of address (Two of the following & less than 3 months of the issue date) Check

- a. A recent utility bill for the applicant's home address (landline phone, gas, electricity, water)
- b. Bank / Building Society financial statement
- c. Revenue documents (these three only):
 - i. Tax Credit Statement
 - ii. Revenue Balancing Statement
 - iii. Revenue C2 Tax Certificate

3. Confirmation of PPS Check

- Most recent P60 or relevant Revenue documentation

4. Completed and signed Application form Check

- This application form, fully completed and signed
- W-8BEN-E Completed hard copy to be obtained from Trustee or QFM
- Revenue Approval Letter to be obtained from Trustee or QFM
- Copy of Trust Deeds for Pension to be obtained from Trustee or QFM

5. Asset Transfer Form Check

- Completed Asset Transfer Form/details (if applicable)

Financial Advisor Details

Advisor Name		Telephone	
Advisor Firm		Email	
Address			

Personal Details of Beneficiary

Title		First name	
Surname		Gender	
Address		PPS Number	
		Occupation	
		Home phone	
Date of birth		Mobile phone	
Place of birth		Work Phone	
Email address			
Are you a US citizen or resident in the US for tax purposes?	<input type="checkbox"/> Yes* <input type="checkbox"/> No		

**Please note that we may require additional information and may be unable to supply you with certain services due to custodian restrictions and FATCA requirements.*

QFM or Pensioner Trustee Details

Pension Name and Type	
Pensioner Trustee Name/QFM Name	
Tax ID Number (for QFM/Trustee)	
Contact/Presenter Details	
Position/Title	
Email Address	
Phone Number	

Additional Tax Identification Details

Please indicate your country of tax residence and associated taxpayer identification number ('TIN').

If you have been issued with an additional TIN from other jurisdictions please also indicate these below.

Country Of Tax Residency	Tax ID Number (e.g. PPS)

Our obligations under the Taxes Consolidation Act 1997 require that we collect certain information about each investors tax arrangements. This is to comply with the Common Reporting Standard developed by the Organisation for Economic Co-operation and Development ('OECD') and approved on 15 July 2014.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx>

You can also check www.conexim.ie/crs-faq/ for a short FAQ which outlines the reasoning behind collection of this additional information.

If any of the information above changes, regarding the beneficiaries tax residency or FATCA/CRS classification, please notify us of these changes immediately.

National Identifier Numbers ('NIN')

INSTRUCTIONS FOR COMPLETION

- Under the MiFIR regulations we are obliged to capture certain information on investors in specific formats for our records and for compliance with the Central Bank's transaction reporting requirements.
- As part of this process we need to record your National Identifier reference. If you hold dual or multiple nationalities we are required to capture this information to facilitate the European Regulations on transaction reporting, which requires us to record National IDs from other member states when transaction reporting.
- As an example, if you are a British national we require your National Insurance number. If you have both British and German nationality, we require both your UK National Insurance number and your German Personal Identity Card number.
- For clients who are Irish nationals and who do not have dual or multiple nationalities we do not require any information on this form.

FIRST APPLICANT

Country	National Identifier

JOINT APPLICANT (if applicable)

Country	National Identifier

NATIONAL IDENTIFIER LIST

Country	National ID
Ireland, Austria, France, Germany, Hungary, Luxembourg	No National ID required (information used is gained from name and date of birth)
Cyprus, Iceland, Liechtenstein, Netherlands	National Passport Number
Belgium	Belgian National Number (Numéro de registre national – Rijksregisternummer)
Bulgaria	Bulgarian Personal Number
Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)
Czech Republic	National Identification number (Rodné číslo)
Denmark	Personal identity code 10 digits alphanumerical: DDMMYYXXXX
Estonia	Estonian Personal Identification Code (Isikukood)
Finland	Personal identity code
Greece	10 DSS digit investor share
Italy	Fiscal code (Codice fiscale)
Latvia	Personal code (Personas kods)
Lithuania	Personal Code (Asmens kodas)
Malta	National Identification Number
Norway	11 digit personal ID (Foedselsnummer)
Poland	National Identification Number (PESEL)
Portugal	Tax number (Número de Identificação Fiscal)
Romania	National Identification Number (Cod Numeric Personal)
Slovakia	Personal number (Rodné číslo)
Slovenia	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)
Spain	Tax identification number (Código de identificación fiscal)
Sweden	Personal Identity Number
UK	UK National Insurance Number
All Other Countries	National Passport Number

Pension Bank Account Details

Note: these details are required so we can transfer monies electronically from your investment account to your pension bank account. Please note we cannot transfer monies to 3rd party accounts.

Account name	
Name of Bank / Building Society	
Address of Bank / Building Society	
IBAN No	
Swift/BIC	

Additional Information

Is the beneficiary also a member trustee on this pension?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Are there additional corporate or natural persons acting as a Trustee or otherwise exercising control over this account?	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you have answered YES to any of the above please provide the relevant details:

Source of Funds / Source of Wealth Verification

We have an obligation to capture a number of details about the source of funds and source of wealth in relation to this application. This is required to satisfy Anti-Money Laundering and Terrorist Financing Legislation. Please carefully and diligently fill in the sections below to assist us in this regard.

Source of Funds

This refers to funds provided for this investment:

Please note that we can only accept funds when these are transferred electronically from an account in your name or joint names, or from a cheque drawn on same. If you are transferring funds from any other source, please indicate the source of funds below.

Source	Salary/bonus	Inheritance	Savings	Redundancy	Investment proceeds	Other (please specify)
<i>First applicant</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<i>Joint applicant</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Source	< €25,000	€25,000 - €50,000	€50,000 - €75,000	€75,000 - €150,000	> €150,000
<i>First applicant</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Joint applicant</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Source of Wealth

How did you accumulate your wealth:

Source	Salary/bonus	Inheritance	Savings	Redundancy	Investment proceeds	Pension/ARF drawdowns	Other (please specify)
<i>First applicant</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<i>Joint applicant</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Investment Instruction

I confirm that I wish to make this investment on an execution-only basis with Conexim. I understand that this means that Conexim will provide its services following my request and without having ascertained whether the service is suitable or appropriate for me.

I confirm that I do not wish to provide any financial or other personal information to Conexim to enable it to determine whether the service is suitable or appropriate for me.

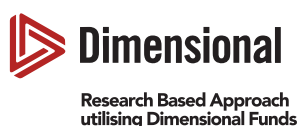
Please select only **ONE**. For additional investment instructions please detail choices overleaf.



Name	Tick to select	Amount	ISIN
<i>Allianz Multi Asset Opps A H2 EUR</i>			LU1505882511
<i>Allianz Multi Asset Long/Short A H2 EUR</i>			LU1505879483



Name	Tick to select	Amount	ISIN
<i>BSF Managed Index Portfolio Defensive D2 EUR</i>			LU1304596254
<i>BSF Managed Index Portfolio Conservative D2 EUR</i>			LU1733247230
<i>BSF Managed Index Portfolio Moderate D2 EUR</i>			LU1304596684
<i>BSF Managed Index Portfolio Growth D2 EUR</i>			LU1304596841



Name	Tick to select	Amount	ISIN
<i>Dimensional Ultra Defensive *</i>			see Dimensional KFD
<i>Dimensional Defensive *</i>			see Dimensional KFD
<i>Dimensional Moderate *</i>			see Dimensional KFD
<i>Dimensional Balanced *</i>			see Dimensional KFD
<i>Dimensional Growth *</i>			see Dimensional KFD
<i>Dimensional Equity Focused *</i>			see Dimensional KFD
<i>Dimensional Targeted Equity *</i>			see Dimensional KFD



Name	Tick to select	Amount	ISIN
<i>GAM STAR FUND Cautious Z EUR Acc</i>			IE00BWXBYN46
<i>GAM STAR FUND Balanced Z EUR Acc</i>			IE00BWXBYL22
<i>GAM STAR FUND Growth Z EUR Acc</i>			IE00BWXBYP69

global allocation



fund strategies

Name	Tick to select	Amount	ISIN
<i>Global Allocation Cautious</i>			see Global Allocation Morningstar KFD
<i>Global Allocation Moderately Cautious</i>			see Global Allocation Morningstar KFD
<i>Global Allocation Morningstar Moderate</i>			see Global Allocation Morningstar KFD
<i>Global Allocation Moderately Adventurous</i>			see Global Allocation Morningstar KFD
<i>Global Allocation Morningstar Adventurous</i>			see Global Allocation Morningstar KFD



Name	Tick to select	Amount	ISIN
<i>Schroder ISF Mlt-Asst Ttl Rt C Acc EUR H</i>			LU1520997542



Please refer to PortfolioMetrix's Consent and Declaration, Mandate & Deal sheet for consent to services and dealing instruction

* Minimum investment in a Dimensional strategy is €50,000

Additional Instructions

If you have any direct investment instructions, please indicate these below. Any comments detailed below will form part of the instruction on the account.

Name of fund, share, product	ISIN / Sedol / Ticker	Amount or % of money to invest
		€
		€
		€
		€
		€
		€
		€
		€
		€

Rebalancing Instructions within certain Investment Strategies

I note that in some of the investment strategies available in order to maintain the asset allocation discipline of my chosen strategy after I have invested Conexim will, from time to time, need to rebalance the holdings in my strategy to the percentage allocations at the date of my original investment ("**Original Asset Allocation**"). I note that a rebalance will need to be effected on my behalf where the asset allocations in my strategy drift ("**Asset Allocation Drift**") by the amount specified in the Key Features Document relative to that investment strategy. This pre-authorized rebalance to the Original Asset Allocation will normally be effected by Conexim at 10am (Irish time) on the first business day following the occurrence of the Asset Allocation Drift (the "**Rebalance Date**") provided the Asset Allocation Drift has still occurred at that time.

Where Asset Allocation Drift has occurred in my strategy, I HEREBY INSTRUCT Conexim to effect, on the Rebalance Date, the number of sale and buy instructions of units in each of the funds in the strategy, based on the published net asset value of the funds on the Rebalance Date, as are required to restore each of the holdings in the strategy to my Original Asset Allocation.

I understand and acknowledge that I retain absolute discretion over all investment decisions and that I may by notice in writing to my financial advisor and/or Conexim rescind this/these standing rebalancing instruction(s).

I understand that the above pre-authorized rebalancing instruction(s) is/are designed to maintain the asset allocation discipline of my chosen strategy after I have invested. I understand that the Original Asset Allocation may change due to market movements and the above pre-authorized rebalancing instruction is intended to restore my investment to the Original Asset Allocation in order to keep my strategy consistent with my risk appetite as I have described it to my financial advisor.

Should I wish to change into a different strategy with different asset class allocations, this can be facilitated but will require a new instruction from me accepting the changes in the risk/return characteristics of the chosen strategy.

Consent and Declaration

1. Declaration

I declare that the information provided by me on this form is correct and I undertake to immediately inform my financial advisor or Conexim should any of the information change.

2. Receipt and understanding of Terms of Business

This is my application to open an account and for Conexim to provide services, as set out in the Terms of Business (the "Terms").

I have received the Terms of Business in relation to the services and have read them carefully. In particular, I confirm that I understand and agree to the following terms, policies and statements, i.e. the Risk Disclosure Statement, Summary Order Execution Policy, Summary Conflicts of Interest Policy and 'The Pershing Agreement'.

I also confirm that I understand that Conexim makes available its privacy statement on its website for my reference.

3. Receipt of Clients Assets Key Information Document ("CAKID")

I confirm I have received a CAKID relating solely to the client assets which Conexim instructs PSIL to provide safe custody and nominee services on your behalf and outlines the Client Asset Regulations as they pertain to Pershing Securities International Limited only.

4. Invitation to treat

I understand that my completing and submitting this form and associated documents (if any) is an invitation to treat and that Conexim, Pershing Securities International Ltd ("PSIL") and Pershing Securities Ltd ("PSL") are not bound by the Terms until such times as a welcome letter is communicated to me and my advisor, an account opened in respect of my investments and funds contributed or in specie transfer of existing funds, shares, or product effected. I further note that the Terms may subsequently change as provided for in the Terms.

5. Investor Declaration

I confirm that I have taken such professional advice as appropriate in connection with the quality, suitability and appropriateness of the investments provided for inclusion in my account. I understand that this means that Conexim will provide their services following my request and without having ascertained whether the service is suitable or appropriate for me and that Conexim's services may be provided on an "execution-only" basis. Where Conexim rebalance investments within an investment strategy I confirm that such rebalancing is based on the pre-authorised rebalancing instruction as detailed in the key features document, which I have received a copy of, and that such rebalancing does not imply that Conexim is making an assessment of suitability or appropriateness for the purposes of providing services to me and if any discretion is employed it is only to rebalance the strategy to remain consistent with the characteristics for each strategy as specified in the key features document.

6. Express consent to execute order outside of regulated market or multilateral trading facility

Where I have requested that an order be executed in an instrument admitted to trading on a Regulated Market ("RM") or Multilateral Trading Facility ("MTF"), then I acknowledge that PSL may execute such order outside an RM or MTF in accordance with PSL's execution policy and I hereby give my consent for such execution.

7. Fees, commissions, charges

I have received details of the fees, commissions and charges in relation to the services and I agree to these. In doing so, I confirm that Conexim has explicit permission to deduct such amounts from my account to satisfy any indebtedness to Conexim, PSIL, any nominated financial advisor, trustee or any 3rd party nominated by you and notified to us by you.

8. Taxation

I confirm that no representations have been made to me and I rely on no confirmations or actions by Conexim regarding the tax treatment or any tax advantage understood by me as applicable. To this end I will have to take my own, separate tax advice.

9. W-8BEN-E

I understand that I will be unable to trade in US securities until a correctly completed W-8BEN-E form has been submitted and lodged with the appropriate withholding agent. I understand that while W-8BEN-E form may confer certain reliefs and exemptions with regards to withholding tax under the terms of the Double Taxation Agreement between Ireland and the United States, it is not the responsibility of my advisor or Conexim to claim or secure any such reliefs or exemptions or notify me should such reliefs or exemptions not be available. To that end, I will take my own tax advice.

10. Contract notes

I understand that I will be able to access valuations and contract note(s) online via the client portal. Should you wish to receive hard copy contract notes please contact us.

11. FATCA / CRS Self-Certification Declaration

By opening this account and signing below, the beneficial owner(s) represents and warrants that he/she/it is not a US person for the purposes of US Federal income tax and that he/she/it is not acting for, or on behalf of, a US person. A false statement or misrepresentation of tax status by a US person could lead to penalties under US law. If your tax status changes or you become a US citizen or resident, you must notify us immediately.

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I acknowledge that the information contained in this form and information regarding the beneficial owner(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the beneficial owner(s) may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information. I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

12. Advisor Fees

The charges payable to my financial advisor which will be levied and deducted from my account are:

Implementation Charge (€ or %)	Annual Charge (€ or %)
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I hereby consent to the deduction of these charges from my account(s). The implementation charge will be deducted once cleared funds are received into my account, and the annual charge will be deducted monthly in arrears consistent with the charging schedule issued to you. I acknowledge receipt of a charging schedule which details the charges levied by Conexim in relation to the services.

Consent and Declaration to items 1 to 12 above

----- Applicant Signature	----- Print Name	----- Date
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----- QFM or Trustee Authorised Signature(s)	----- Print Name	----- Date
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Advisor Declaration

I declare that I have met the above named applicant and have explained the relevant investments provided within the services and am satisfied that the investments chosen on this application form and any subsequent instructions are suitable and appropriate in relation to the clients knowledge and experience, risk tolerance, capacity for loss and the client's investment needs and objectives. I can also confirm that I/we have fully complied with all Anti-Money Laundering and Terrorist Financing Legislation and other relevant legislative requirements in relation to this client.

----- Advisor Signature	----- Print Name	----- Date
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